

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**

THIS PAGE BLANK (USP)

THIS PAGE BLANK (USP)



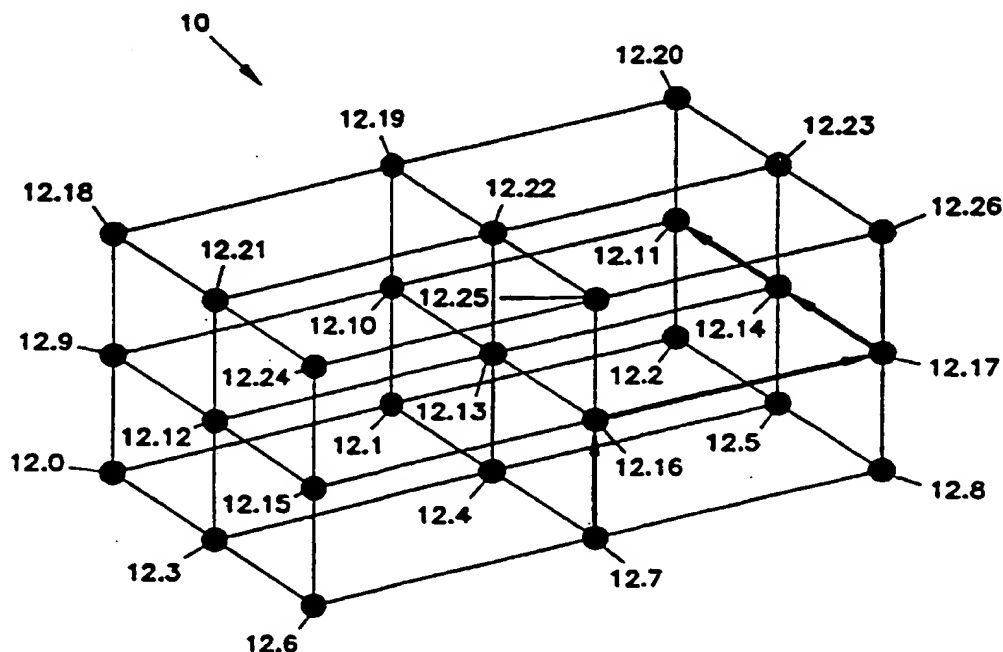
INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 5 : G06F 15/16	A1	(11) International Publication Number: WO 94/12939 (43) International Publication Date: 9 June 1994 (09.06.94)
(21) International Application Number: PCT/US93/11162 (22) International Filing Date: 17 November 1993 (17.11.93) (30) Priority Data: 07/983,979 30 November 1992 (30.11.92) US (71) Applicant: CRAY RESEARCH, INC. [US/US]; 655A Lone Oak Drive, Eagan, MN 55121 (US). (72) Inventor: THORSON, Gregory, M.; 1119 Sweet Water Close, Altoona, WI 54720 (US). (74) Agent: BRUESS, Steven, C.; Merchant, Gould, Smith, Edell, Welter & Schmidt, 3100 Norwest Center, 90 South Seventh Street, Minneapolis, MN 55402 (US).		(81) Designated States: CA, JP, European patent (AT, BE, CH, DE, DK, ES, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE). Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

(54) Title: DIRECTION ORDER ROUTING IN MULTIPROCESSOR SYSTEMS**(57) Abstract**

A method of routing messages within an n-dimensional network topology. Two directions are associated with each dimension in the n-dimensional network, for a total of $2n$ directions. A direction order is assigned which prioritizes the order in which a packet is routed across the $2n$ possible directions. Such an approach provides deadlock-free, fault tolerant wormhole routing in networks without wrap-around channels. For networks with wrap-around channels, the above method of wormhole routing is enhanced by placing a first direction from each of the n dimensions within a first group of directions. The second direction from each dimension is placed within a second group of directions. A packet to be routed from a source node to a destination

node is routed in all relevant directions in the first group of directions in any order before being routed in the second group of directions. If, while traveling in a direction within the first group of directions, the packet is routed across a wrap-around channel, all further routing in that direction must be completed before moving in another direction. Routing then proceeds, if necessary, in the other directions of that first group of directions. Likewise, if, while traveling in a direction within the second group of directions, the packet is routed across a wrap-around channel, all further routing in that direction must be completed before moving in another of the second group of directions. A free hop mechanism is also taught for increased flexibility.



FOR THE PURPOSES OF INFORMATION ONLY

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AT	Austria	GB	United Kingdom	MR	Mauritania
AU	Australia	GE	Georgia	MW	Malawi
BB	Barbados	GN	Guinea	NE	Niger
BE	Belgium	GR	Greece	NL	Netherlands
BF	Burkina Faso	HU	Hungary	NO	Norway
BG	Bulgaria	IE	Ireland	NZ	New Zealand
BJ	Benin	IT	Italy	PL	Poland
BR	Brazil	JP	Japan	PT	Portugal
BY	Belarus	KE	Kenya	RO	Romania
CA	Canada	KG	Kyrgyzstan	RU	Russian Federation
CF	Central African Republic	KP	Democratic People's Republic of Korea	SD	Sudan
CG	Congo	KR	Republic of Korea	SE	Sweden
CH	Switzerland	KZ	Kazakhstan	SI	Slovenia
CI	Côte d'Ivoire	LI	Liechtenstein	SK	Slovakia
CM	Cameroon	LK	Sri Lanka	SN	Senegal
CN	China	LU	Luxembourg	TD	Chad
CS	Czechoslovakia	LV	Latvia	TG	Togo
CZ	Czech Republic	MC	Monaco	TJ	Tajikistan
DE	Germany	MD	Republic of Moldova	TT	Trinidad and Tobago
DK	Denmark	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	US	United States of America
FI	Finland	MN	Mongolia	UZ	Uzbekistan
FR	France			VN	Viet Nam
GA	Gabon				

DIRECTION ORDER ROUTING
IN MULTIPROCESSOR SYSTEMS

5

Field of the Invention

The present invention pertains generally to the field of high-speed digital data processing systems, and more particularly to a method of routing data within a multiprocessing network which avoids deadlock while increasing fault tolerance.

Background of the Invention

Computer processing speed and efficiency in both scalar and vector machines can be achieved through the use of multiprocessing techniques. By increasing the number of processors and operating them in parallel, more work can be done in a shorter period of time.

Initial attempts to increase system speed and efficiency involved the use of a limited number of processors running in parallel. For instance, an example of a two-processor multiprocessing vector machine is disclosed in U.S. Patent No. 4,636,942, issued January 13, 1987 to Chen et al. Another aspect of the two-processor machine of the Chen '942 patent is disclosed in U.S. Patent No. 4,661,900, issued April 28, 1987 to Chen et al. A four-processor multiprocessing vector machine is disclosed in U.S. Patent No. 4,745,545, issued May 17, 1988 to Schiffleger, and in U.S. Patent No. 4,754,398, issued June 28, 1988 to Pribnow. All of the above named patents are assigned to Cray Research, Inc., the assignee of the present invention.

As the number of processors in a computing system increase, direct connection and close cooperation between all of the processors becomes impossible. As a result the programming paradigm shifts from multiprocessing to concurrent computing. In a concurrent computer a large number of processors work independently on a pieces of a concurrent program. The processors must still communicate in order to coordinate and share data but they can operate independently on that data. In concurrent computers,

communication efficiency becomes critical. Communication latency must be low but at the same time packaging density must be optimized to limit the amount of processor-to-processor interconnect; in addition, it is preferable in
5 some applications to ensure deterministic communication latency.

In response to the need to balance interconnect density against communication latency, a variety of network topologies have been developed. Most such network
10 topologies limit the connections between processors to a relatively small number of neighbors. A large class of such topologies can be characterized as either k -ary n -cubes or as networks such as rings, meshes, tori, binary n -cubes and Omega networks which are isomorphic to k -ary
15 n -cubes. Processors in this class of topologies communicate via a message passing protocol in which information intended for a distant processor is packetized and routed through intermediate processors to the destination processor.

20 Communication latency in a network such as a k -ary n -cube depends heavily on the choice of routing algorithm. Routing algorithms fall into two categories: store-and-forward routing and wormhole routing. In store-and-forward routing, a message sent from one processor to
25 another is captured and stored in each intermediate processor before being sent on to the next processor. This means that each processor must have a fairly large buffering capacity in order to store the number of messages which may be in transit through the processor.
30 Also, since a message must be received in its entirety before it can be forwarded, store-and-forward approaches to routing result in communication latencies which increase dramatically as a function of the number of nodes in a system. On the other hand, such an approach is
35 amenable to the use of deadlock free algorithms which avoid deadlock by preventing or reducing the occurrences of blocking in message transfers.

In wormhole routing a message is divided into a number of smaller message packets call flits. A header flit is received by a processor and examined as to its destination. The header flit is then sent on to the next
5 processor indicated by the routing algorithm. Intermediate flits are forwarded to the same processor soon after they are received. This tends to move a message quickly through the system. Since, however, each intermediate flit is devoid of routing information, a
10 channel to the next processor is considered dedicated to the message until the complete message is transferred. This results in blocking of other messages which might need to use that particular channel. As more messages block, the system can become deadlocked.

15 A number of approaches have been offered for resolving the problem of deadlock in wormhole routing. In virtual cut-through routing, messages which are blocked are removed from the network and stored in buffers on one of the intermediate processors. Therefore, blocking in
20 virtual cut-through networks can be avoided through the use of many of the deadlock avoidance algorithms available for store-and-forward routing. Virtual cut-through routing avoids deadlock but at the cost of the additional hardware necessary to buffer blocked messages.

25 Two alternate approaches for avoiding deadlock in wormhole routing communications networks are described in "Adaptive, low latency, deadlock-free packet routing for networks of processors," published by J. Yantchev and C. R. Jesshope in *IEEE Proceedings*, Vol. 136, Pt. E, No. 3,
30 May 1989. Yantchev et al. describe a method of avoiding deadlock in wormhole routing in which the header flit, when blocked, coils back to the source node. The source node then waits for a non-deterministic delay before trying to send the message again. Yantchev et al.
35 indicate that such an approach is likely to prove very expensive in terms of communications costs and that these costs will likely increase out of proportion as network

diameter increases.

Yantchev et al. also propose an improved wormhole routing algorithm which operates to remove cycles in a network channel dependency graph by constraining routing within the network to message transfers within a series of virtual networks lain over the existing communications network. Under the Yantchev method, the physical interconnection grid is partitioned into classes according to the directions needed for message packet routing. In a two-dimensional array of processors, these classes would correspond to $(+X, +Y)$, $(-X, +Y)$, $(+X, -Y)$ and $(-X, -Y)$. Each class defines a particular virtual network; the combination of two of the virtual networks (such as $(+X, +Y)$ and $(-X, -Y)$), along with a suitable deadlock free multiplexing scheme, results in a fully connected network which is deadlock-free. Yantchev et al. teach that the two-dimensional scheme can be extended to an n -dimensional network in which one virtual network is used for increasing coordinates while a second is used for decreasing coordinates. The method of virtual networks can also be extended to include adaptive routing.

The method taught by Yantchev et al. can be used to good effect in avoiding deadlock in mesh networks. The Yantchev approach is not, however, as practical for networks having wrap-around channels, such as tori. Wrap-around channels increase the number of cycles in a network. To eliminate these cycles Yantchev et al. teach that a toroidal network can be decomposed into a fully unwrapped torus equivalent consisting of two or more subarrays. Message passing is then limited to transfers within a subarray.

Such an approach, while breaking the cycles, does so at a relatively high cost. Under Yantchev, a large number of virtual channels must be allocated for each node (eight for an unwrapped two-dimensional toroid) in order to break all possible cycles. As the number of dimensions increase, the number of virtual channels needed for

deadlock free routing also increases.

Dimension order, or e-cube routing is yet another wormhole approach to deadlock-free routing. In dimension order routing, an ordering of dimensions is selected and all traffic completes its routing in that order. That is, all routing is completed in one dimension before any routing is allowed in another dimension. This rigid routing scheme provides deadlock free transfers by restricting the types of turns possible in a message transfer (i.e. eliminating cycles in the acyclic mesh). Dimension order routing is described in "Deadlock-free Message Routing in Multiprocessor Interconnection Networks" published by William J. Dally and Charles L. Seitz in *IEEE Transactions on Computers*, Vol. C-36, No. 5, May 1987.

Dimension order routing provides a deterministic routing protocol but, since it only provides a single path between a source and a destination node, in mesh networks this method is not fault tolerant. In toroidal networks, the situation is not much better. In a toroid, you have 2ⁿ possible paths but all paths turn on the same n-1 nodes. Because of this, a failure in any node can cut off communication between one or more node pairs.

Each of the communications networks described above suffers limitations in its applicability to network topologies having hundreds or thousands of nodes. There is a need in the art for a communications protocol which resolves the above-mentioned problems in an efficient and hardware limited fashion while achieving low communications latency. It is preferable that such an approach minimize interconnect while providing fault tolerance in message packet transfers.

Summary of the Invention

To overcome limitations in the art described above and to overcome other limitations that will become apparent upon reading and understanding the present specification,

the present invention provides a method of wormhole routing messages within an n -dimensional network topology. Two directions are associated with each dimension in the n -dimensional network, for a total of $2n$ directions. A direction order is assigned which prioritizes the order in which a packet is routed across the $2n$ possible directions. Such an approach provides deadlock-free, fault tolerant routing in networks without wrap-around channels.

For networks with wrap-around channels, the above method of wormhole routing is enhanced through sign ordering, that is, by placing a first direction from each of the n dimensions within a first group of directions. The second direction from each dimension is placed within a second group of directions. A packet to be routed from a source node to a destination node is routed in all relevant directions in any order in the first group of directions before being routed in the second group of directions. If, while traveling in a direction within the first group of directions, the packet is routed across a wrap-around channel, all further routing in that direction must be completed before moving in another direction so as to ensure that the particular direction is not entered again. Routing then proceeds, if necessary, in the other direction of that group of directions. Likewise, if, while traveling in a direction within the second group of directions, the packet is routed across a wrap-around channel, all further routing in that direction must be completed before moving in another of the second group of directions.

In another aspect of the current invention, a communications system according to the current invention includes a first hop mechanism by which a message packet can be moved to a neighboring node before being transferred to the destination node in the normal way.

Description of the Drawings

In the drawings, where like numerals refer to like elements throughout the several views;

Fig. 1 is a topological representation of a k -ary n -cube network in which $k=3$ and $n=3$.

Fig. 2 is a block diagram illustrating dimension order routing in a k -ary n -cube network such as that shown in Fig. 1.

Fig. 3 is a block diagram illustrating direction order routing of a message packet in a k -ary n -cube network such as that shown in Fig. 1.

Fig. 4 is a block diagram illustrating a toroidal k -ary n -cube network.

Fig. 5 is a block diagram illustrating a sign-slice partition which can be used with the toroidal k -ary n -cube network of Fig. 4.

Fig. 6 is a block diagram illustrating direction order routing of a message packet in the presence of failed communication links.

Fig. 7 is a tabular representation of a direction order routing look-up table entry.

Detailed Description of the Drawings

In the following detailed description of the Drawings, reference is made to the accompanying drawings which form a part hereof, and in which is shown by way of illustration a specific embodiment in which the invention may be practiced. It is to be understood that other embodiments may be utilized and structural or logical changes may be made without departing from the scope of the present invention. The following detailed description, therefore, is not to be taken in a limiting sense, and the scope of the present invention is defined by the appended claims. In particular, although the examples given are taken from k -ary n -cubes, it should be apparent that the teachings of the present invention can be applied advantageously to any mesh or toroidal network.

Fig. 1 is a topological representation of a k -ary n -cube network 10 in which $k=3$ and $n=3$. Each node 12 of k -ary n -cube network 10 can be one or more processors. Each node processor will typically have its own memory. In one
5 embodiment the node processors can access some shared node memory. In a network such as network 10, where $n=3$, the three dimensions can be expressed as x , y and z . In Fig. 1, the x dimension is the dimension moving from node 12.0 to 12.1, the y dimension is the dimension moving from node
10 12.0 to 12.3 and the z dimension is the dimension moving from node 12.0 to 12.9.

Fig. 2 is a block diagram illustrating dimension order routing in a network such as that shown in Fig. 1. In dimension order routing a network 10 having $n=3$ is
15 characterized as having three dimensions (labelled x , y and z). For example, in network 10 of Fig. 1, the x dimension could be the dimension moving from node 12.0 to 12.1, the y dimension could be the dimension moving from node 12.0 to 12.3 and the z dimension could be the
20 dimension moving from node 12.0 to 12.9. In dimension order routing, transfer of a message in one dimension (both PLUS and MINUS) is completed before routing is performed in the next higher ordered dimension. For example, a message packet being transmitted from node 12.7
25 to 12.11 would be analyzed for the routing necessary to move +1 in the x dimension, -2 in the y dimension and +1 in the z dimension. In the example above, if the dimension order is zyx , the message will be transferred from node 12.7 to node 12.16 and then through nodes 12.13
30 and 12.10 to node 12.11.

As was mentioned previously, dimension order routing provides a deterministic routing protocol but, since it only provides a single path between a source and a destination node, in mesh networks this method is not
35 fault tolerant. In toroidal networks, the situation is not much better. In a toroid, you have 2^n possible paths but all paths turn on the same $n-1$ nodes. Thus a single

chip failure at a critical location may make an entire region of the network unreachable to a given processor. It is for this reason that the present direction order routing method was developed.

5 Fig. 3 is a block diagram illustrating direction order routing of a message packet in a k -ary n -cube network 10. In contrast to dimension order routing, in direction order routing travel in each direction is given a priority with regard to other directions. For instance, one direction
10 ordering for a network such as that shown in Fig. 1 would be to route $+x$, $+z$, $-x$, $-y$, $+y$, then $-z$. Under this routing scheme, a packet which is to be routed in $+x$, $+y$, and $+z$ directions will travel first in x , then in z and finally in y . On the other hand, a route of $(-x, +y, +z)$
15 will travel in first in z , then in x and finally in y .

Another possible routing scheme for a network 10 such as shown in Fig. 3 is to route $+x$, $+y$, $+z$, $-x$, $-y$, then $-z$. In this example, a route of $(+x, +y, +z)$ will travel first in x , then in y and finally in z . On the other
20 hand, a route of $(-x, +y, +z)$ will travel in first in y , then in z and finally in x .

In addition, direction ordering can be varied dynamically to enhance the fault tolerance of the system, as different routes can now have different corners. In
25 this approach, as is shown in Fig. 3, if the direction ordering is $(+z, -z, +x, -y, +y, -x)$, a packet to be transferred from node 12.7 to node 12.11 (i.e. in $+x$, $-y$ and $+z$) will be transferred from node 12.7 to node 12.16 and then through nodes 12.17 and 12.14 to node 12.11. If
30 the link between nodes 12.7 and 12.16 is rendered inoperable, communication is still possible simply by changing the direction ordering so as to prevent an initial $+z$ move. If, for example, the direction ordering is chosen to be $(+x, +y, -x, +z, -y, -z)$, the message from
35 node 12.7 to node 12.11 will be transferred from node 12.7 to node 12.8 and then through nodes 12.17 and 12.14 to node 12.11.

On the other hand, if the link between nodes 12.14 and 12.11 is rendered inoperable, communication is still possible simply by changing the direction ordering so as to change the occurrence of the -y move. In that case, 5 the direction order routing method could be chosen as (+x, -y, +z, -x, +y, -z) and the packet to be transferred between node 12.7 and node 12.11 will be transferred from node 12.7 to node 12.8 and then through nodes 12.5 and 12.2 to node 12.11.

10 As in other wormhole routing algorithms, in the preferred direction ordering network embodiment, routing information is transferred as part of the packet. In such an embodiment, node coordinates (either relative or absolute addresses) are part of the header flit. For 15 example, in one embodiment the header flit contains two direction fields for each dimension, for a total of $2n$ direction fields. Each field contains either an absolute or relative coordinate for movement in a particular direction in that dimension. The direction ordering then 20 defines the order in which the packet is routed in each of the $2n$ directions. This approach permits flexible routing even in minimal routing schemes.

In another embodiment, the header flit is limited to n dimension fields; in such embodiments, a single absolute 25 or relative coordinate defines movement in the particular dimension. In one such embodiment, the direction to be associated with the dimension field is stored in a separate, related field. In another such embodiment, a sign bit attached to the dimension coordinate indicates 30 the direction to be used in that particular dimension.

The decision between n or $2n$ fields in the header flit is a design decision. The use of $2n$ fields provides a great deal of flexibility in routing of a packet but at the cost of carrying an additional n fields in the header 35 flit. For instance, one can route a packet in a round-about way to the destination node in order to avoid faulty communication links. On the other hand, in certain

network designs, the n dimension fields may be sufficient to achieve a flexible routing design. It should be apparent that other field assignments, using anywhere from n to $2n$ fields, could also be used.

5 Sign ordering can also be used advantageously in some networks. In sign ordering, the $2n$ directions are divided into two groups of n directions each, with no more than one direction from any dimension in a group. In one embodiment, each direction in a dimension is assigned a
10 sign; directions are then grouped according to sign. Routing within a group may be adaptive or it may be restricted to a given group direction order. (If desired, the group direction order can be the same for both
15 groups.) Routing is then accomplished in the directions contained in the first group before any routing is done in the second group's directions.

In one embodiment of sign ordered routing, it has been found to be advantageous to group all routing of the same sign into the same chip. In such a sign order routing
20 scheme the sign ordering of $(+x, +y, +z)$ and $(-x, -y, -z)$ has one chip per node that does all the positive routes and a second chip per node that does all the negative routes. This particular partitioning scheme has been
25 found to be more fault tolerant than one which partitions according to dimension. The routes $(+x, +y, +z)$ and $(-x, -y, -z)$ both travel in x, y , then z and turn the corners on the same nodes, but different sign corners are turned on different chips and the corners are turned on the chips rather than between the chips.

30 Direction ordering can be combined with sign ordering to provide great flexibility in routing packets. For instance, a given sign/direction ordering might be $(+x, +y, +z)$ and $(-x, -y, -z)$ as given above. On the other hand, packet traffic and/or faulty transmission links may
35 dictate a sign/direction ordering of $(+z, +y, +x)$ and $(-x, -z, -y)$.

Although the preferred direction order network implementation is not adaptive (in order to provide deterministic routing), sign/direction order routing can be used advantageously in an adaptive routing algorithm for networks without wrap-around channels (such as mesh networks). A partition in which all positive paths are routed before any negative paths (or vice versa), has an interesting side benefit of providing a cheap, deadlock free form of adaptive routing. Merely by restraining routing to all positive paths before all negative paths (that is, saying that the directions of the same sign do not have to be satisfied in a fixed order), the network devolves to a variation of the adaptive routing scheme taught by Yantchev et al. above.

Direction order routing can be extended from mesh to toroidal networks. In toroidal networks, the additional cycles caused by the wrap-around channels can be eliminated by combining direction ordering with virtual channels. The use of virtual channels is described in the Dally et al. article referenced above. In a toroidal network each node of the k -ary n -cube shown in Fig. 1 is connected to six neighbors. In such a network, as is shown in Fig. 4, node 42.24 of network 40 is connected not only to nodes 42.15, 42.21 and 42.25 but also to nodes 42.6, 42.18, and 42.26. The other boundary nodes are connected in a similar fashion (not shown) to other boundary nodes. This type of network topology provides a great deal of flexibility in the routing of messages. A short and a long path is available in each dimension. In a typical system, the short path will normally be used to shorten communication latency. The long path is available, however, for use to compensate for a broken communications link in the short path or to relieve a hot spot in the short path. At the same time, as was discussed previously, the increased number of paths results in an increase in the number of cycles in the network channel dependency graph. The cycles must be

eliminated in order to ensure deadlock free packet routing.

In a toroidal network implementation such as that shown in Fig. 4, deadlock free routing is assured according to the present invention by using direction order routing to break the cycles within the acyclic mesh in a flexible manner. As in the examples given above, n to $2n$ direction fields in the header flit provide the necessary routing information. At the same time, virtual channels are provided for every link between nodes in order to break the cycles introduced by the torus connections. The combination of direction ordering with virtual channels permits flexible deadlock free message routing. In contrast to dimension order routing with virtual channels, the direction ordering approach is inherently more flexible due to the increased number of turning nodes.

In a further refinement of direction ordering in a toroid, sign ordering can be used advantageously with direction ordering to provide additional routing flexibility. In such an approach, a packet could be routed in all relevant directions of a first group of directions before being routed in directions contained in the second group of directions. Such an approach can be extended to an adaptive form of routing in which a packet is routed in any of a first group's directions as long as a wrap-around channel is not crossed. Upon crossing a wrap-around channel, all additional routing within that particular direction must be completed before proceeding to routing within the other directions in the group. It is important to ensure that, once a wrap-around channel is crossed in any one direction, the transfer in that direction is completed and no further routing is permitted in that direction. This limitation ensures that no cycles are created in the torus wrap-around channels.

One embodiment of a sign/direction ordering routing method which can be used in an n-dimensional network topology having $2n$ directions and including wrap-around channels is described next. In this embodiment, a
5 direction order is defined across all $2n$ directions. In one such embodiment, the direction ordering is partitioned into two sign groups, with the directions contained in the first sign group having higher priority than any of the second sign group directions.

10 In order to transfer a packet of information from a source node to a destination node within the network, a header flit consisting of routing information is formed and attached to the information to be transferred. The resulting packet is sent to an adjacent node in one of the
15 directions contained in the first group of directions. The adjacent node forwards the packet in the same or another of the directions from the first group of directions. Transfer continues in the first group of directions until all necessary first group directions have
20 been finished. The packet is then transferred in the necessary second direction group directions. (In one embodiment, once a packet moves in a direction, it is transferred in that direction until finished. It then goes in another direction from the first group of
25 directions until all necessary first group directions have been executed.)

In one such embodiment of a sign/direction ordering routing method, packets are transferred in an adaptive manner in any of the first group directions until a wrap-
30 around channel is crossed. Once a wrap-around channel has been crossed in a particular direction, however, all subsequent transfers in that direction must be completed before adaptive routing can continue in the other first group directions. Adaptive routing can continue for the
35 second group directions, with the same wrap-around channel restriction, when all first group directions have been executed.

A possible hardware implementation of a partition for sign/direction ordering within a k -ary n -cube of $n=3$, a three dimensional mesh or a three dimensional toroid is illustrated generally in Fig. 5. Fig. 5 is a block diagram of a node 42 for a three dimensional sign/direction ordering routing network partitioned along direction signs. Node 42 consists of a processing element 52 connected to a PLUS pathway 54 and a MINUS pathway 56. Processing element 52 comprises one or more processors connected to one or more node memories.

In the embodiment shown in Fig. 5, PLUS pathway 54 and MINUS pathway 56 establish two independent routing planes. Packets originating at processing element 52 are received by PLUS pathway 54 and sent out the highest priority PLUS path. If the packet is not to be routed in the PLUS direction in any dimension or has completed all PLUS routes, it is transferred from PLUS pathway 54 to MINUS pathway 56 and sent out the highest priority MINUS path. (In one embodiment, packets can be sent out in a PLUS plane and responses to those packets originate on a MINUS plane. In such an embodiment, packets sent in response to a received message packet are sent out on the highest priority MINUS path and all MINUS paths are completed before the response packet is transferred to a PLUS path for the remaining routes.)

In the embodiment of the circuit shown in Fig. 5, processing element 52 selects the path travelled by a message packet by accessing a look-up table stored in node memory. The look-up table lists, for each other node in the system, the path to be taken to the node. Such an approach permits remapping of node locations in order to select alternate travel paths or to logically replace a failed node with a spare node at a different network location. Such remapping can remain transparent to the program sending a message packet; therefore, the look-up table approach permits seamless use of memory throughout the direction order routing network.

In one embodiment, the look-up table can be loaded by the operating system into processor element 52 via a separate network control data path (not shown). Since it is expected that such a remapping will be required only infrequently, the separate network control data path can be a relatively slow direct data path to each of the processor nodes 52 in each of the nodes 42. The use of a separate data path removes packet routing control from the network and ensures access to all processor elements 52 even in cases of network deadlock.

In one embodiment of the network partition of Fig. 5, a look-up table is implemented which provides for each node in the network both an absolute location and a selected path in each of the dimensions. For instance, node 42.17 in Fig. 4 may have an absolute address of (2, 2, 1) corresponding to $x=2$, $y=2$ and $z=1$. The entries corresponding to node 42.17 in the remaining nodes will provide not only the absolute address of node 42.17 but also a series of sign bits which indicate whether movement in a dimension should be on the PLUS or MINUS plane.

A source node will, before sending a message, access the entry for node 42.17 and construct a header flit consisting of control bits (such as flit size), the sign bits and the absolute address of node 42.17. If, for instance, node 42.18 wants to send a message to node 42.17, it would access the look-up table for the entry corresponding to node 42.17. It would then construct a header flit consisting of the coordinates of the absolute address listed in order of routing priority and the selected paths in each dimension. The header flit will then be forwarded by each intermediate node until it reaches node 42.17.

For example, the shortest path for a message from node 42.18 to node 42.17 can be described by an entry of (-2, -2, -1) in the look-up table at node 42.18. For an order of (+x, +y, +z, -x, -y, -z) this entry would result in a route which began in node 42.18 and traveled through 42.20

and 42.26 to 42.17. (For other direction orderings, the paths would be different but the results the same.) If, however, it was desirable to take a different path on such a transfer, an entry of $(-2,+2,-1)$, for the same direction
5 order, would result in a route which began in node 42.18 and traveled through 42.21, 42.24 and 42.26 to 42.17. Such a longer route might be advantageous for the avoidance of a hot spot or a faulty communications link.

It should be apparent that node 42.17 could, if
10 broken, be replaced by another node in the system. For instance, the operating system may detect that node 42.17 has failed and decide that message packets to node 42.17 will instead be sent to node 42.0. To do this, the operating system will write a new look-up table to each
15 node. The look-up table will contain an entry associated with node 42.17. That entry will be modified so as to replace the node 42.17 coordinates with the coordinates of node 42.0. Subsequently, packets addressed to node 42.17 will be sent to node 42.0 to be acted on. The program
20 sending the message never needs to know that there has been a node failure.

It is also possible to use the look-up table method to further enhance the distribution of message packets. It may be advantageous, for example, to establish a different
25 direction ordering for a packet traveling from node 42.15 to node 42.20 than for a packet traveling from node 42.15 to 42.2. This could easily be done by attaching a direction order field to each entry in the direction order look-up table for node 42.15. Processing element 52 could
30 then attach the prescribed dimension order to the header flit in order to obtain the desired route. (As an alternative, each direction field could be assigned a tag indicating its direction. Processor 52 could then just list the directions in the header flit in the order they
35 are to be routed.)

It should be apparent that a direction order routing network can easily be constructed to use differential or relative addressing rather than absolute addressing to guide the flit through the system. In such an embodiment, each pathway 54 or 56 would decrement the dimension variable before forwarding it to the next node in the dimension. Such an approach requires the calculation of a relative address from each node in the system to all other nodes.

It should be apparent that each flit can be constructed to include one or more error detection or correction bits to avoid errors propagating through the system. Such error control mechanisms are well known in the art; they are useful in spotting and recording errors in data transmission and can be used in connection with a diagnostic program to facilitate mapping around a faulty communications link.

In another embodiment of a routing network according to the present invention, each node which is sourcing a message packet is capable of forwarding the message in any direction and in any dimension. The first node which then receives the message packet routes the message in the applicable direction, sign or sign/direction order. This capability to move a message through an initial "free hop" can be used advantageously to avoid a route in which multiple links are missing. For instance, network 40 in Fig. 6 has inoperable communications links between nodes 42.6 and 42.15 and between nodes 42.15 and 42.24. This means that no matter which direction in z is chosen, a message from node 42.6 cannot get through to node 42.15. In a network which permits initial free hops, a message from 42.6 would initially be sent to another node (such as node 42.3 or 42.7). Routing hardware at the receiving node would then transfer the message to node 42.15 via the normal routing mechanism.

In a typical system, implementation of the "free hop" feature is fairly economical. Only the source node must decide the initial free hop; all intermediate nodes continue to operate according to the direction order or sign/direction order routing algorithm. In the system shown in Fig. 5, a "free hop" location or route could be included in the look-up table as an additional field associated with each entry. Such an entry is illustrated generally in Fig. 7. Fig. 7 is a representation of a look-up table entry incorporating an initial free hop. Look-up table 60 includes one entry for each node in network 40. Each look-up table entry 62 includes dimension locations 66.1-66.3 and sign bits 68.1-68.3 for each of the n possible dimensions. (As was explained earlier, the sign bits indicate whether movement is to be in the PLUS or MINUS direction in a dimension.) The node associated with each entry can be defined by the entry location in the look-up table or through the use of a node designator field 64. In addition, in systems which provide an initial hop, entry 62 includes a free hop designator 70 which indicates the direction of the initial jump.

In the example shown on Fig. 6, the look-up table entry 62 for node 42.15 at node 42.6 (as is shown in Fig. 7) could be written as (0,2,1,-,+,+,+x), where free hop designator 70 is +x. Processing element 52 on node 42.6 would create the header flit from the look-up table entry 62 and send the header flit and free hop designator 70 to PLUS pathway 54. PLUS pathway 54 would then, in response to free hop designator 70, simply send the header flit in the +x direction to node 42.7 without even looking at the routing information.

At node 42.7, PLUS pathway 54 would recognize that it was already at +2 in the y dimension and would send the header flit to node 42.16. PLUS pathway 54 at node 42.16 would see that there were no remaining PLUS path transfers and would route the message to MINUS pathway 56 within the

same node. MINUS pathway 56 would then forward the message to MINUS pathway 56 of node 42.15 which would then pass the message to its associated processing element 52.

In one embodiment, free hops can be made in any of the
5 2n possible directions. In a second embodiment, free hops are limited to hops in specific directions. In one such embodiment, free hops can be restricted to the first group of directions in a sign/direction ordering. The number in free hop designator 70 would then simply be the dimension
10 in which the free hop will be taken (e.g. x or y). In a third embodiment, a message packet which begins with a free hop in the PLUS direction in any dimension will continue to be routed in the PLUS direction for any PLUS direction dimensions. In a like manner, a message packet
15 which begins with a free hop in the MINUS direction in any dimension will continue to be routed in the MINUS direction for any MINUS direction dimensions before switching to the PLUS path for the remaining transfers.

In the preferred embodiment, the free hop does not
20 carry any extra information in the header flit (thus the term "free"). In some embodiments, however, it may be advantageous to include a free hop continuation bit in free hop designator field 70. Such a continuation bit could be added to the header flit in order to cause an
25 additional free hop in the same direction in the next node. This might be useful for situations where one would want to skip a node which is itself the source of a great deal of traffic. Such a mechanism would also be useful for skipping over nodes such as spare or I/O nodes which
30 may not map directly into the regular network topology. In another embodiment, it may be advantageous to include other additional information in the header flit to allow a route to use all 2n directions and/or make multiple uses of the same direction.

35 It is clear that direction order routing provides a flexible deadlock free approach to routing in multi-dimensional networks. It is also clear that sign ordering

can be combined advantageously with direction ordering to provide a flexible routing mechanism for toroidal networks. Further, it is clear that free hops can be used advantageously with either direction ordering, sign
5 ordering or both in order to distribute packet traffic expeditiously or in order to avoid failed links. This application is intended to cover any adaptations or variations of the present invention. Therefore, it is manifestly intended that this invention be limited only by
10 the claims and the equivalents thereof.

What is claimed is:

1. A method of routing a packet between a source and a destination node in a networked system having a plurality of nodes connected in an n -dimensional topology, wherein the topology comprises n dimensions, including a first and a second dimension, wherein the system comprises two or more nodes connected in $2n$ directions to other nodes in the system and wherein the $2n$ directions comprise first and second directions in each of the n dimensions, the method comprising the steps of:

defining a direction order for routing packets, wherein the direction order defines a priority for packet routing in each of the $2n$ directions;

generating a header comprising routing information, wherein the routing information comprises information needed for routing in each dimension;

attaching the header to information to be transferred in order to form a packet; and

transferring the packet from the source node to the destination node, wherein the step of transferring the packet comprises routing the packet in the direction order.

2. The method of routing according to claim 1 wherein the step of transferring the packet further comprises sending the packet in a free hop from the source node to an adjacent node.

3. The method of routing according to claim 1 wherein the step of defining a direction order comprises assigning the first and second directions of the first dimension to a higher priority than the first and second directions of the second dimension in order to ensure that routing of a packet is completed in the first dimension before it is initiated in the second dimension.

4. The method of routing according to claim 1 wherein the step of defining a direction order comprises assigning all first directions to higher priorities than any second directions such that routing of a packet is completed in all first directions before it is initiated in any second direction.
5. The method of routing according to claim 4 wherein the step of transferring the packet further comprises sending the packet in a free hop from the source node to an adjacent node.
6. The method of routing according to claim 5 wherein the step of sending the packet in a free hop from the source node to an adjacent node comprises sending the packet in any one of the first directions.
7. A method of routing a packet between a source and a destination node in a networked system having a plurality of nodes connected in an n-dimensional topology having wrap-around channels, wherein the topology comprises n dimensions, including a first and a second dimension, wherein the system comprises two or more nodes connected in $2n$ directions to other nodes in the system and wherein the $2n$ directions comprise first and second directions in each of the n dimensions, the method comprising the steps of:
 - generating a header comprising routing information, wherein the routing information comprises information for routing in at least one of the directions in each dimension;
 - attaching the header to information to be transferred in order to form a packet;
 - transferring the packet from the source node to the destination node, wherein the step of transferring the packet comprises:

routing the packet in the first directions defined in the routing information, wherein the step of routing the packet in the first directions comprises:

- a) routing the packet in one of the first directions defined in the routing information;
- b) determining if the packet has crossed one of the wrap-around channels;
- c) if the packet has crossed a wrap-around channel in a particular one of the first directions, continuing to route in the particular first direction until all transfers in that particular first direction are completed; and
- d) if one or more first directions defined in the routing information have not been routed, going to step a; and

routing the packet in the second directions defined in the routing information, wherein the step of routing the packet in the second directions comprises:

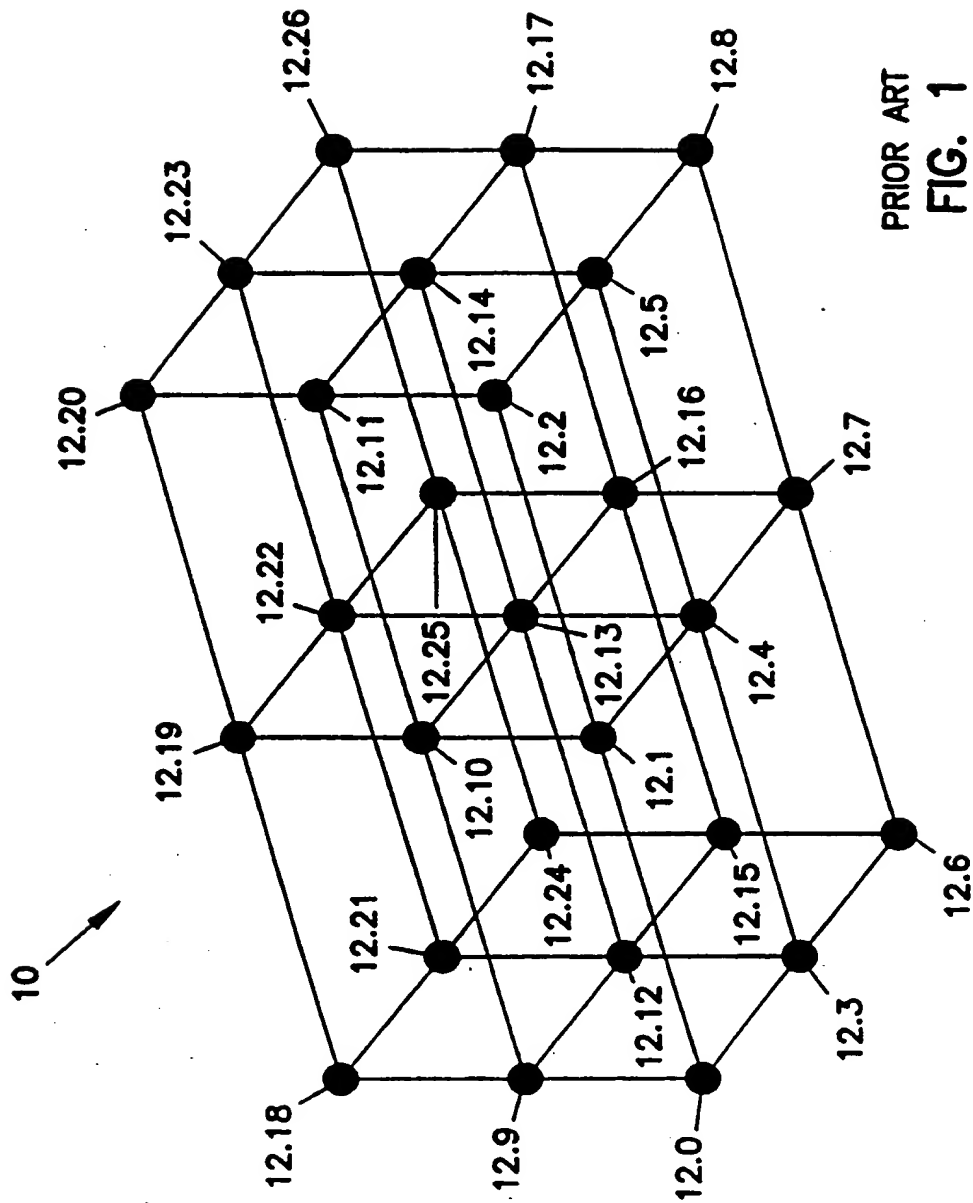
- e) routing the packet in one of the second directions defined in the routing information;
- f) determining if the packet has crossed one of the wrap-around channels;
- g) if the packet has crossed a wrap-around channel in a particular one of the second directions, continuing to route in the particular second direction until all transfers in that particular second direction are completed; and
- h) if one or more second directions defined in the routing information have not been routed, going to step e.

8. The method of routing according to claim 7 wherein the step of transferring the packet further comprises sending the packet in a free hop from the source node to an adjacent node.

9. The method of routing according to claim 8 wherein the step of sending the packet in a free hop from the source node to an adjacent node comprises sending the packet in any one of the first directions.

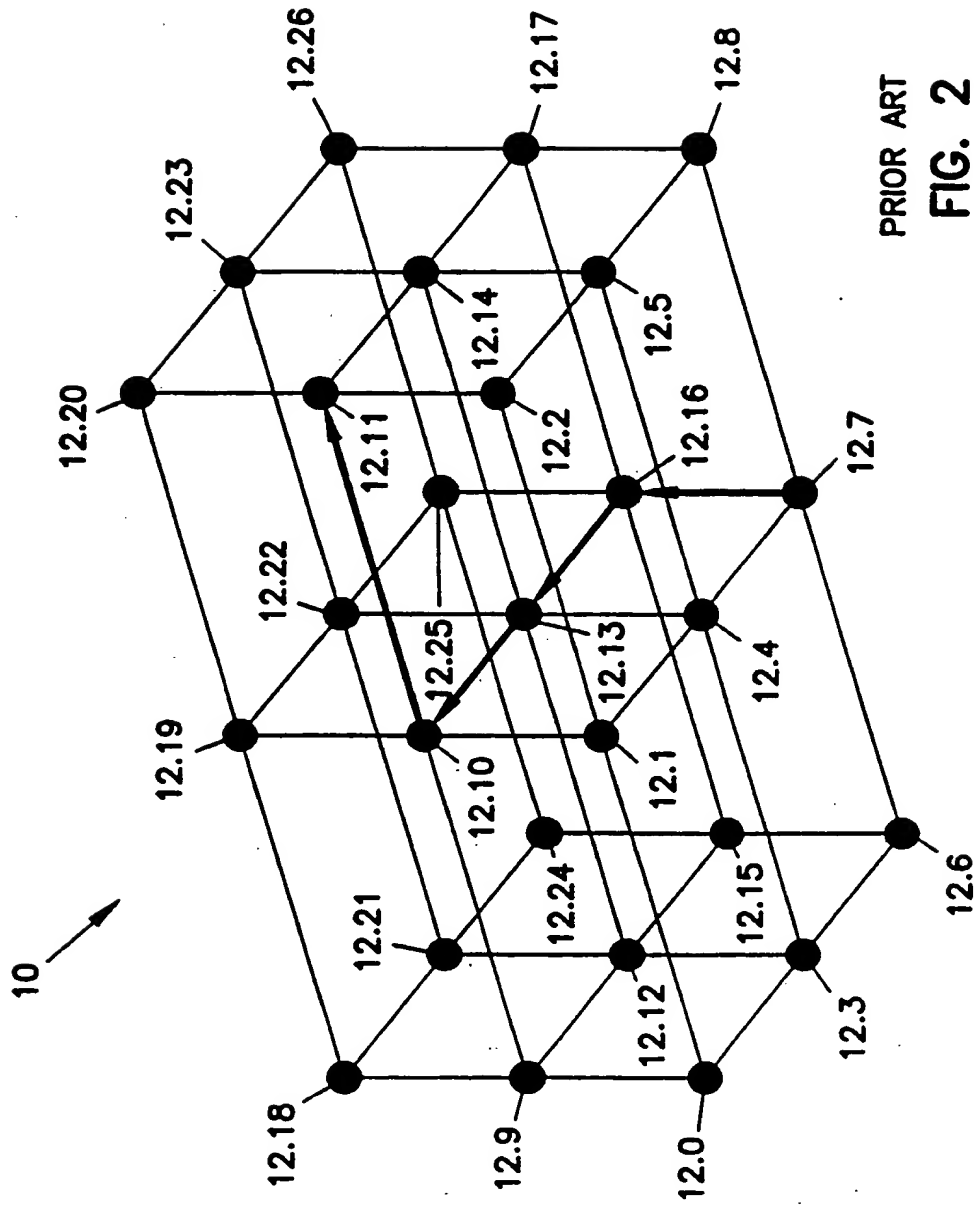
10. The method of routing according to claim 7 wherein the method further comprises defining a direction order for routing packets, wherein the direction order defines a priority for packet routing in each of the $2n$ directions.

THIS PAGE BLANK (USE 10)



PRIOR ART
FIG. 1

THIS PAGE BLANK (USPTO)



PRIOR ART
FIG. 2

THIS PAGE BLANK

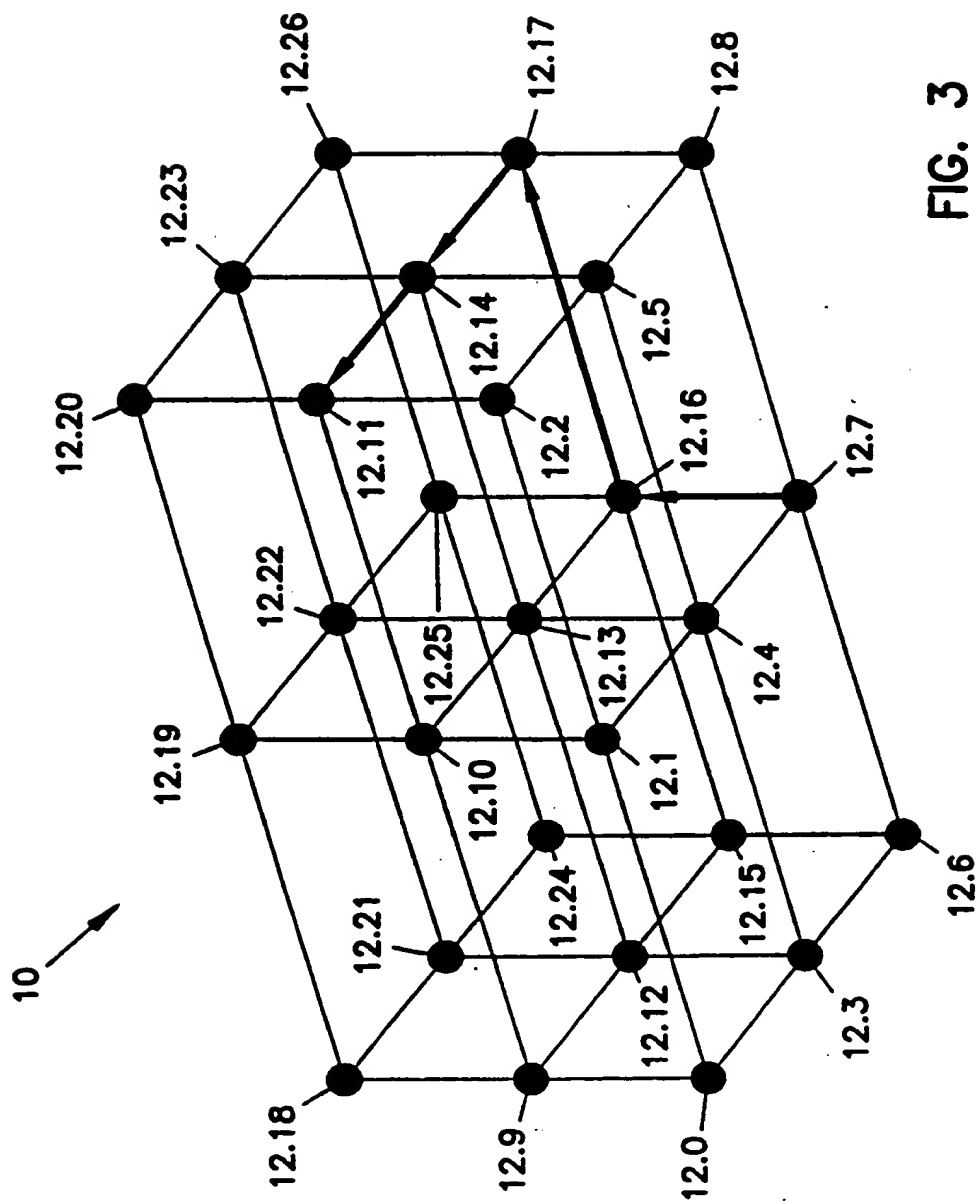
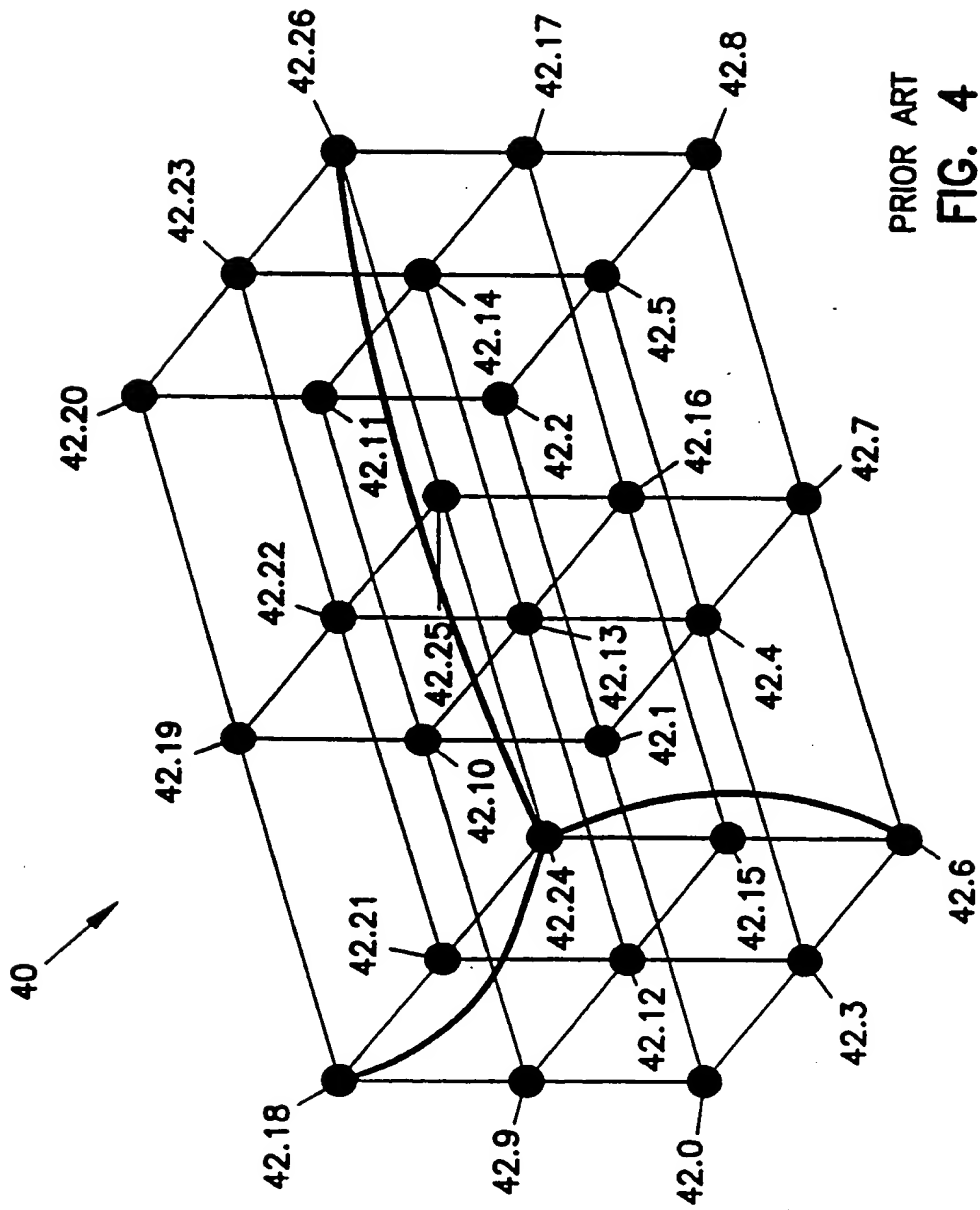


FIG. 3

THIS PAGE BLANK (USPTO)

PRIOR ART
FIG. 4

THIS PAGE BLANK (USE)

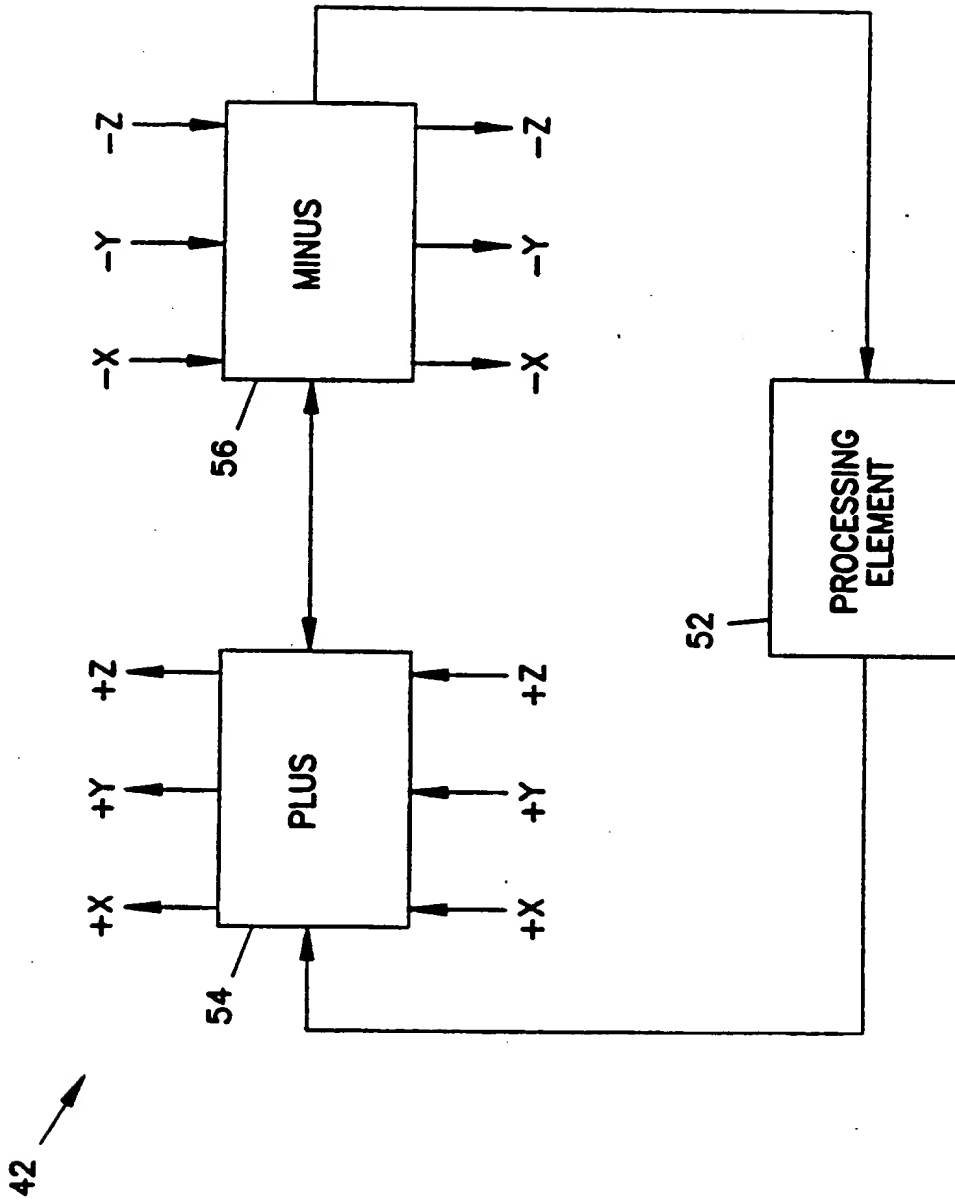


FIG. 5

THIS PAGE BLANK (USPTO)

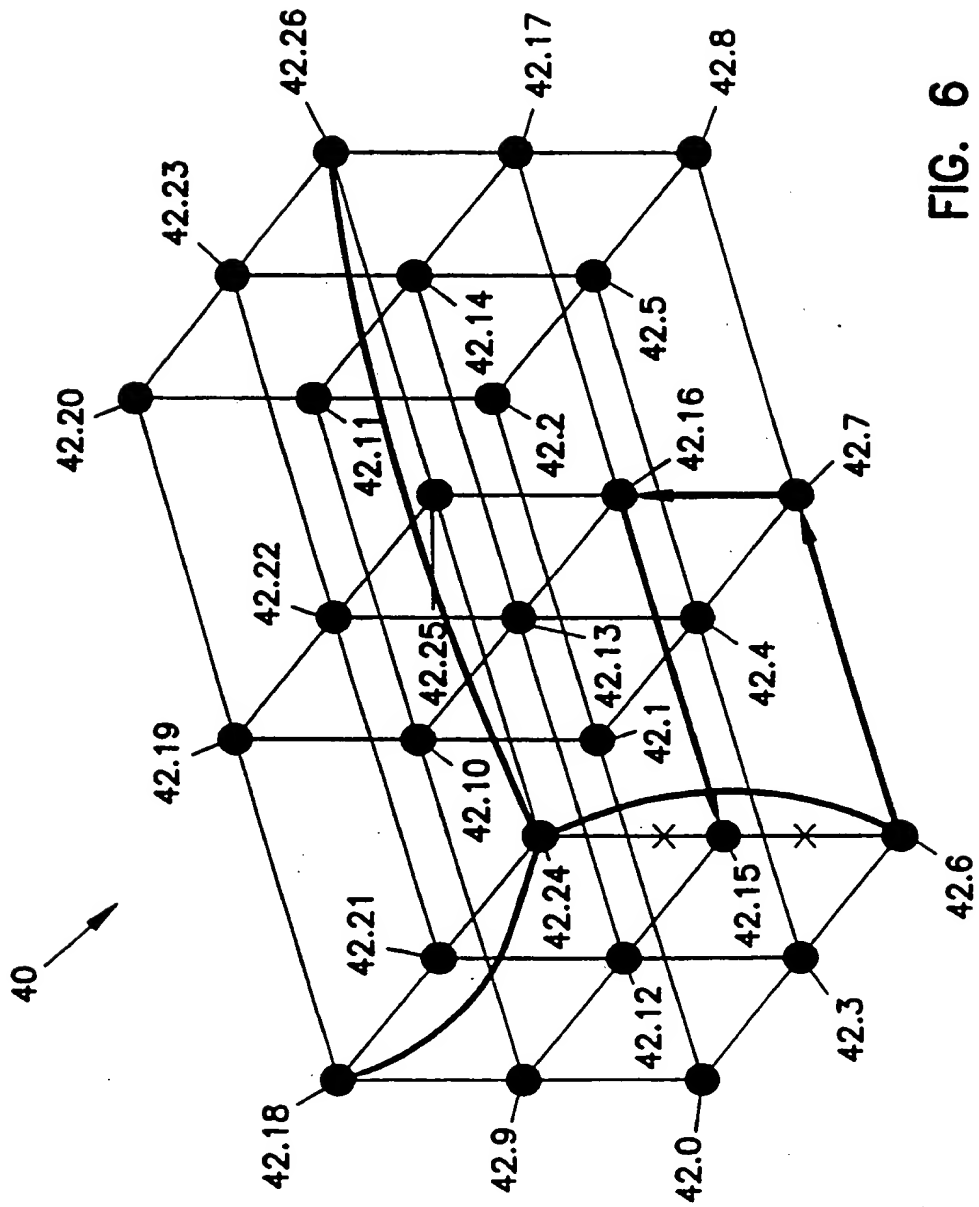


FIG. 6

THIS PAGE BLANK (USP10)

NODE	x	y	z	Sx	Sy	Sz	INITIAL JUMP
...
42.15	0	2	1	-	+	+	+x
...

64

62

66.1

66.2

66.3

68.1

68.2

68.3

70

60

FIG. 7

THIS PAGE BLANK

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 93/11162

A. CLASSIFICATION OF SUBJECT MATTER
IPC 5 G06F15/16

According to International Patent Classification (IPC) or to both national classification and IPC

B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)
IPC 5 G06F

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	COMPUTER ARCHITECTURE NEWS vol. 20, no. 2, May 1992, NEW YORK US pages 278 - 287 C. GLASS AND L. NI 'The turn model for adaptive routing' see the whole document ---	1-10
A	IEEE TRANSACTIONS ON COMPUTERS. vol. C-36, no. 5, May 1987, NEW YORK US pages 547 - 553 W. DALLY AND C. SEITZ 'Deadlock-free message routing in multiprocessor interconnection network' cited in the application see page 549, right column, line 22 - page 550, right column, line 52 --- -/--	1-10

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

* Special categories of cited documents:

- *A* document defining the general state of the art which is not considered to be of particular relevance
- *E* earlier document but published on or after the international filing date
- *L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- *O* document referring to an oral disclosure, use, exhibition or other means
- *P* document published prior to the international filing date but later than the priority date claimed

T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

A document member of the same patent family

Date of the actual completion of the international search

14 March 1994

Date of mailing of the international search report

11.04.94

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2
NL - 2280 HV Rijswijk
Tel. (+31-70) 340-2040, Tx. 31 651 epo nl,
Fax (+31-70) 340-3016

Authorized officer

Michel, T

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 93/11162

C.(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
P,A	IBM TECHNICAL DISCLOSURE BULLETIN. vol. 35, no. 7 , December 1992 , NEW YORK US pages 232 - 233 'Deadlock-free routing schemes on multistage interconnection networks' see the whole document ----	1-10
A	NTC'77 CONFERENCE RECORD vol. 2 , 5 December 1977 , LOS ANGELES, USA pages 2821 - 2825 R. GALLAGER 'Scale factors for distributed routing algorithms' see abstract ----	1-10
A	US,A,5 008 882 (J. PETERSON ET AL) 16 April 1991 see abstract; claims 1,4,6-9; figure 1 see column 2, line 30 - column 3, line 4 ----	1-10
A	EP,A,0 501 524 (D. HILLIS) 2 September 1992 see claim 1 ----	1-10
A	WO,A,88 08652 (THINKING MACHINES CORP.) 3 November 1988 see the whole document -----	1-10

INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/US 93/11162

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US-A-5008882	16-04-91	NONE	
EP-A-0501524	02-09-92	US-A- 4814973	21-03-89
		CA-A- 1212480	07-10-86
		DE-A- 3486141	09-06-93
		EP-A, B 0132926	13-02-85
		EP-A- 0495537	22-07-92
		EP-A- 0501525	02-09-92
		JP-A- 60084661	14-05-85
		US-A- 5008815	16-04-91
		US-A- 5129077	07-07-92
		US-A- 5152000	29-09-92
		US-A- 5123109	16-06-92
		US-A- 5151996	29-09-92
		US-A- 5146608	08-09-92
		US-A- 5212773	18-05-93
		US-A- 4598400	01-07-86
WO-A-8808652	03-11-88	US-A- 4984235	08-01-91
		EP-A- 0381671	16-08-90
		JP-T- 2503244	04-10-90
		US-A- 5117420	26-05-92

THIS PAGE BLANK (USPTO)